

Influence of the structural incorporation of Er and Tb in different titania matrices on their photoluminescence properties.

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ABSTRACT

Rare-earth doped titania bulk samples have been prepared by sol-gel processing. Erbium or Terbium oxides were incorporated in three different concentrations: 1 mol%, 3 mol% and 5 mol%. The samples were then subjected to different heat treatments to study the crystallization process. Thermal analysis results showed an increase in the crystallization temperature with increasing doping concentration. The diffractograms of the undoped titania samples indicate the presence of an amorphous phase at 300 °C, the anatase phase at 350 °C and the rutile phase at 500 °C. Erbium or Terbium oxide doping yielded a remarkable stabilization of the anatase phase, since the rutile phase transformation temperature now occurs at 1050 °C. For the higher doped samples, anatase and rutile crystallization is also accompanied by the formation of a pyrochlore phase, $Tb_2Ti_2O_7/Er_2Ti_2O_7$. FTIR spectroscopy revealed the presence of OH groups at $\sim 3500\text{ cm}^{-1}$ and $\sim 1600\text{ cm}^{-1}$, in the amorphous phase, which led to a quenching effect and a strong reduction of the photoluminescence of the erbium-doped samples. The upconversion results of erbium doped crystalline titania samples showed an increase in the red emission when compared to the green emission, for higher doping concentrations, especially for the anatase matrix. For the terbium doped samples, the emission spectra yielded a much stronger emission in the amorphous matrix.

Keywords - Sol-gel; Photoluminescence; Titania matrix; Green / red emission; Quenching; Lanthanides.

1. Introduction

Titania, or titanium dioxide, is a well-known material used in several applications such as photocatalysis, photovoltaic, sunscreen or even as a white pigment used for painting or coloring. This oxide has three most known crystallographic polymorphs: Rutile, Anatase and Brookite [1] and, depending on certain factors as calcination temperature, presence of a dopant, synthesis method, and precursor used, anatase or rutile can be obtained in most scenarios [2]. The anatase to rutile transformation depends on several parameters such as the grain size, its shape, surface area, heating rate, impurities, volume of the sample and present atmosphere, among others [3]. It is widely reported that anatase to rutile transformation occurs in a range between 600 and 1000 °C [4][5]. Among all synthesis methods, including hydrolysis of $TiCl_4$, flame pyrolysis, solvothermal or hydrothermal synthesis, chemical vapor deposition or physical vapor deposition [3], anodization, electrospinning, spin coating, atomic layer deposition, electrochemical deposition, direct oxidation and pulsed laser deposition [6], sol-

gel processing is considered a low-cost technique and reproducible at large scale, with possibility for films deposition or multilayered structures [7], being also advantageous for studies of bulk or optical films with luminescent properties. The most important aspects considered for the matrix, when doped with lanthanide ions, are its high refractive index and high transparency which arise from low phonon energy. Using these two factors, the probability of non-radiative decay is lowered and increased the luminescence quantum yield [8], which can be defined as a ratio between emitted and absorbed photons.

2. Methods

2.1 Materials and synthesis

For the preparation of the titania sol, the precursor used was TPOT (titanium (IV)-propoxide, $Ti(OC_3H_7)_4$, Aldrich, 98%), together with glacial acetic acid (GAA) (Alfa Aesar, 99.+) and Ethanol (Merck, 99.5%), used as solvents. The preparation of titania sol starts with 3 mL of GAA and, while stirring it

at low rotation, 2.5 mL of TPOT is added, dropwise, and stirred for 1h at room temperature (RT), called Sol.A. For undoped solutions, 18 mL of absolute ethanol was added and stirred for another 10 min, called Sol.C. For doped solutions, erbium nitrate penthydrate (*Sigma Aldrich*;99.9%; $Er(NO_3)_3 \cdot 5H_2O$) or terbium chloride hexahydrate (*Sigma Aldrich*;99.9%; $TbCl_3 \cdot 6H_2O$) were added to absolute ethanol, accomplishing the stoichiometric proportions, and dispersed by ultrasonication, Sol.D. The final solutions, C and D, were aged at RT to its gelation, taking approximately two weeks (figure 1) [9]. The samples prepared had the nominal composition (in mol%): $(100-x)TiO_2-(x)TbO_{1.5}$ and $(100-x)TiO_2-(x)ErO_{1.5}$, where $x=1, 3$ or 5 . All compositions are expressed on a molar basis. Heat treatment (HT) was performed at 300 °C, 350 °C, 400 °C, 500 °C, 850 °C and 1050 °C on a HT time from 1-4 h.

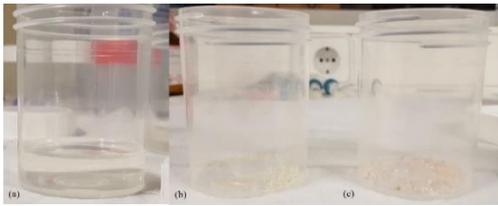


Figure 1: Titania Sol; Erbium and terbium doped titania gel.

2.2 Structural and microstructural characterization

Differential scanning calorimetry was performed on a DSC Q200 equipment, from Thermal Analytics, and nitrogen (*Air Liquide*) was used as flowing inert purge gas at the rate of 50 mL/min. For crystallographic phase identification the x-ray diffraction constituted by a Philips PW 3020 diffractometer, using $CuK\alpha$ radiation with a wavelength of 1.542 Å, a power of 30 W and a current of 40 mA. All measures were obtained with 2θ ranging between 10-70 °, an increment of 0.02 ° and 1 s per step, in continuous mode. Surface view was analyzed through scanning electron micrographs taken with a FEG-SEM JEOL JSM-7001F equipment, in secondary electrons mode. For the Raman spectroscopy it was used a confocal micro-spectrophotometer Raman (Labram Evolution HR from Jobin Yvon Technology, Horiba), using a 532 nm diode laser (mpc6000, Laser Quantum) as excitation source, 10mW power in the sample, a grating of 600 lines/mm and a detection range of 100 to 1800 cm^{-1} . FT-IR Nicolet 5700

spectrophotometer from Thermo Electron Corporation was employed, using a KBr beamsplitter to the IR source, for the MIR region (4000 to 350 cm^{-1}) and a DTGS-TEC detector. An attenuated total reflection (ATR) accessory was used, using a single point ATR with a diamond crystal.

2.3. Luminescence characterization

Photoluminescence (PL) measurements in the infrared (900-1700 nm) were obtained using an Avantes spectrophotometer (AvaSpec-NIR256-1.7), excited by a 975 nm wavelength diode laser (*LumicsLU0975T 080 - D605N12A*), at 1W power, collected by an optical fiber (1401031 FC-UVIR600-2). Fluorolog-3, from HORIBA Jobin Yvon, was used to record photoluminescence spectra in the visible region, for terbium doped samples. The equipment was set with a range between 200-530 nm for an excitation spectrum and an excitation radiation of $\lambda_{exc} = 484nm$ for the emission spectra. The radiation was obtained through a xenon lamp, in a continuous wave and a slit about 10 nm. UC photoluminescence spectra was recorded between 400 and 950 nm using a diode laser (*LumicsLU0975T 080 - D605N12A*) at 1W power, with excitation at 975 nm. The laser was focused on sample by a 10× micro-objective ($NA = 28$) and the generated UC PL was collected by a multimode fiber and guided into a spectrometer (0.85 m double monochromator, Spex 1403) and a photomultiplier tube detector (*Hamamatsu;R928*).

3. Results & discussion

3.1 DSC results

For the undoped titania sample, the DSC results indicated a crystallization onset temperature around 269 °C and an increase of this temperature for doped titania samples as represented in table 1.

Table 1: Crystallization onset temperatures for undoped and erbium/terbium doped TiO_2 samples.

	Mol%	Crystallization onset temperature (°C)
Undoped	0	269
Erbium doped	1	320
	3	346
	5	364
Terbium doped	1	337
	3	340
	5	376

For all terbium doped titania samples, it is observed an endothermic peak, around 100 °C, assigned to the water release and an exothermic peak assigned to the crystallization reaction. For all erbium doped titania samples, in addition to those peaks found before, there are two exothermic peaks assigned to the removal of organics as represented in figure 2.

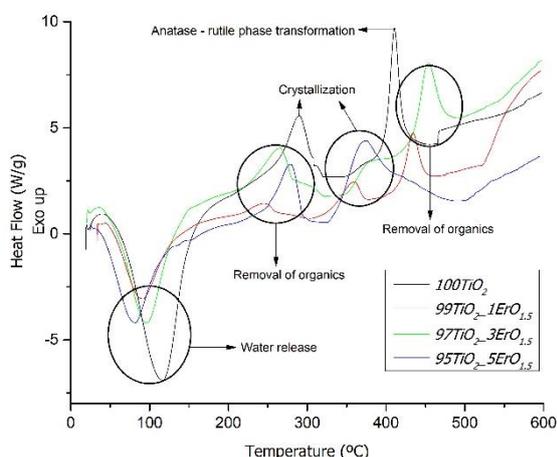


Figure 2: DSC curves of undoped and terbium doped titania bulk samples.

3.2 XRD results

Several HT temperatures were tested in order to determine the temperature at each phase was dominant. Considering XRD of the undoped sample, 100TiO₂, five different heat treatment temperatures, at 300 °C, 350 °C, 500 °C, 850 °C and 1050 °C, were tested and samples were subjected to X-ray diffraction, which can be observed in figure 3. The diffractogram from 100TiO₂-HT300 sample showed a fully amorphous material. For a HT at 500°C, 100TiO₂-HT500, both anatase and rutile phases were obtained, with the first to be the dominant over the mixture. This phase transformation is earlier than expected according to literature [3][10]. For a HT temperature of 850 °C, 100TiO₂-HT850, a fully phase transformation to rutile occurs. For 1 mol% of erbium doped, there is mainly an anatase phase present for this HT temperature, which shows a delay for the phase transformation upon erbium incorporation. Furthermore, at higher temperatures, like 99TiO₂-1ErO_{1.5}-HT1050, rutile is the main phase present, together with a small content of a pyrochlore phase, Er₂Ti₂O₇, a faced center cubic crystal system. This pyrochlore phase is essentially affected by the dopant concentration and calcination temperature [11]. Upon increasing erbium concentration to

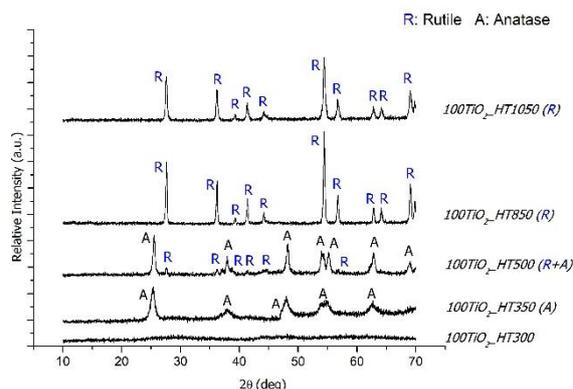


Figure 3: Undoped TiO₂ diffractograms, for different HT temperatures.

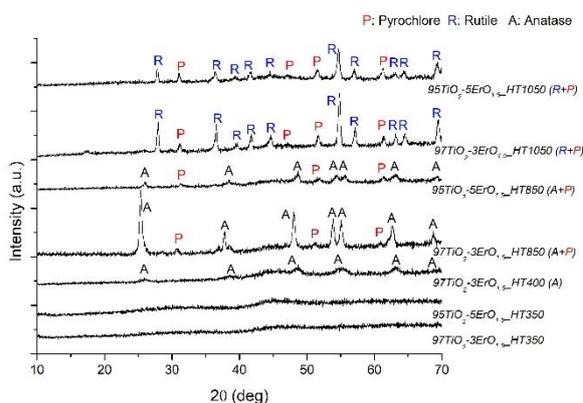


Figure 4: 97TiO₂-ErO_{1.5} and 95TiO₂-5ErO_{1.5} diffractograms, for different HT temperatures.

3 and 5%mol the crystallization of anatase phase is retarded and, for both compositions, it is possible to obtain an amorphous material at 350 °C (figure 4) and such results corroborate the DSC findings.

The XRD results of terbium doped titania samples (not present in this report) present the same behavior as the erbium doped titania. As before, for 1 mol% of terbium doped titania, the characteristic HT temperatures for an amorphous, anatase and rutile phase are 300°C, 850°C and 1050°C respectively, while for 3% and 5%mol are considered the HT temperatures at 350 °C, 850 °C and 1050 °C.

The crystallite size was estimated using Scherrer's equation [23]:

$$D = \frac{K\lambda}{\beta \cos\theta}, \quad (\text{eq.1})$$

where D is the crystallite size in nanometers, K=0.89, λ is the x-ray wavelength, θ the diffraction angle and β is the corrected full width at half maximum, using an β_{inst} = 0.16. The average size for terbium and erbium doped anatase samples is 21 nm which is a similar value as the anatase undoped titania sample, 20 nm. For doped and undoped rutile samples the same happens, where the average crystallite size is about 36 nm. In general,

there is a maintenance of crystallite sizes for doped and undoped samples. It became quite evident the growth of a pyrochlore phase for higher doping concentrations. In figure 4 it is noticed a decrease of relative intensities of rutile/pyrochlore upon increasing doping concentrations and HT temperature.

In the case of $99\text{TiO}_2\text{-1ErO}_{1.5}\text{-HT1050}$, the intensity of the pyrochlore phase peak is much lower than the rutile phase. However, for higher doping concentrations as $95\text{TiO}_2\text{-5ErO}_{1.5}\text{-HT1050}$, both phases present

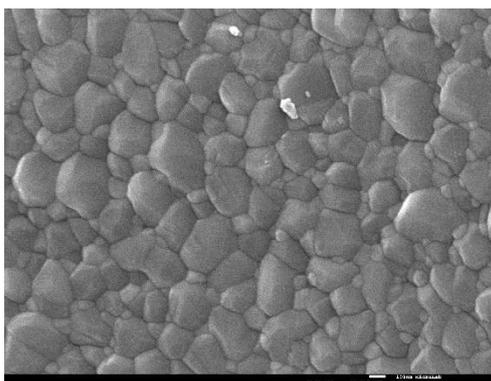


Figure 5: SEM surface image of $95\text{TiO}_2\text{-5TbO}_{1.5}\text{-HT1050}$ bulk samples (Scale bar of 100 nm).

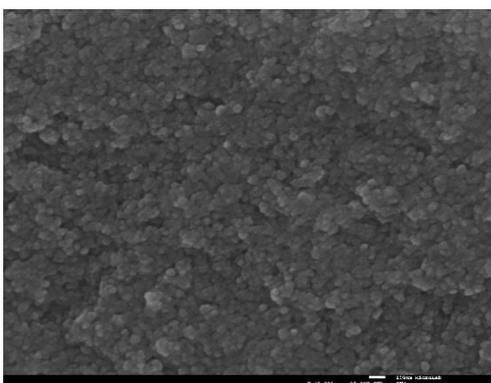


Figure 6: SEM surface image of $95\text{TiO}_2\text{-5TbO}_{1.5}\text{-HT850}$ bulk samples (Scale bar of 100 nm).

diffraction peaks with almost the same intensity counts, evidencing the growth of such pyrochlore phase.

3.3 SEM results

It is expected that an increase in the Er^{3+} content would inhibit the crystal growth of anatase phase, according to works of Iscoa et al. [10]. That was proven through SEM surface images observation, for terbium doped titania samples heat treated at 850 °C. The grains have a lower size, about 20-50nm (figure 6), when compared to a rutile matrix phase, figure 5. In $99\text{TiO}_2\text{-1TbO}_{1.5}\text{-HT1050}$ sample, the particle size increased to 50-600nm and in

$95\text{TiO}_2\text{-5TbO}_{1.5}\text{-HT1050}$ samples the particles sizes are ranging between 50-400 nm. This size decrease is attributed to the higher dopant concentration, which is an anatase phase stabilizer, retarding the anatase to rutile phase transformation and the particle's growth. Comparing the crystallite sizes with grain sizes, it is concluded that such calculations are just comparable when in a presence of an anatase matrix. The photoluminescence spectra are then expected to have a higher intensity in erbium and terbium doped samples when in a presence of an anatase matrix, rather than rutile, due to the smaller particle size [11].

3.3 FT-IR spectroscopy

In order to obtain an amorphous titania phase, for the $99\text{TiO}_2\text{-1ErO}_{1.5}$ sample, the maximum HT temperature was 300°C since at 350°C an anatase phase was already formed. Even with a 2/4 hours HT time, the results get quite similar to a single hour of HT. A large band centered at $\sim 3350\text{ cm}^{-1}$ gets quite evident in figure 7, together with the $\sim 1630\text{ cm}^{-1}$ peak, attributed to the OH bending vibrations of molecular water [12]. When doped with 3 mol% of erbium these bands disappear, however at 5 mol% those bands are present again, an indication of the difficulty to obtain free OH samples. All samples present a drop-in transmittance bellow 1000 cm^{-1} , corresponding to the Ti–O–Ti vibration. According to Salhi et al. [13] this band is centered at 450 cm^{-1} . Also, a peak at ~ 2920 and $\sim 2850\text{ cm}^{-1}$ is also present, mainly in $97\text{TiO}_2\text{-3ErO}_{1.5}$ sample, assigned to the CH_3 and CH_2 asymmetric and symmetric

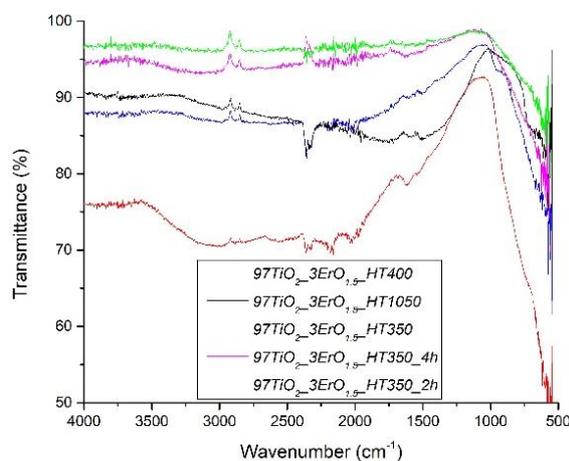


Figure 7: $97\text{TiO}_2\text{-3ErO}_{1.5}$ bulk samples: FTIR-ATR spectra.

vibrations, respectively [18]. These bands are not related to the samples since it also was observed in a sample heat treated at 1050 °C and should be then related to any sort of equipment contamination as ethanol or acetone. This sample is used as a reference for a free-OH content material.

3.4 Photoluminescence results

In the $99\text{TiO}_2\text{-1ErO}_{1.5}$ sample spectra, it is notorious the absence of any peak on the sample $99\text{TiO}_2\text{-1ErO}_{1.5}\text{-HT300}$, in figure 8. The lack of luminescent properties is attributed to OH^- content by means non-radiative emissions. There is an absorption from the ground state to the energy level $^4I_{11/2}$, represented by the transition $^4I_{15/2} \rightarrow ^4I_{11/2}$, but the quenching effect promoted by this group led to the $^4I_{11/2} \rightarrow ^4I_{13/2}$ transition, followed by a non-radiative emission, $^4I_{13/2} \rightarrow ^4I_{15/2}$, by the hydroxyl groups through an energy transfer. When increasing HT temperature up to 500 °C, the peaks correspondent to 526, 532, 547 and 553 nm emissions are present in the green region, while the red region is characterized by 661, 670 and 678 nm emissions. For such emissions there is an excitation from the ground state to an excited state by means of GSA, $^4I_{15/2} \rightarrow ^4I_{11/2}$, followed by excited state absorption (ESA) or energy transfer up-conversion (ETU) mechanism, by the $^4I_{11/2} \rightarrow ^4F_{7/2}$

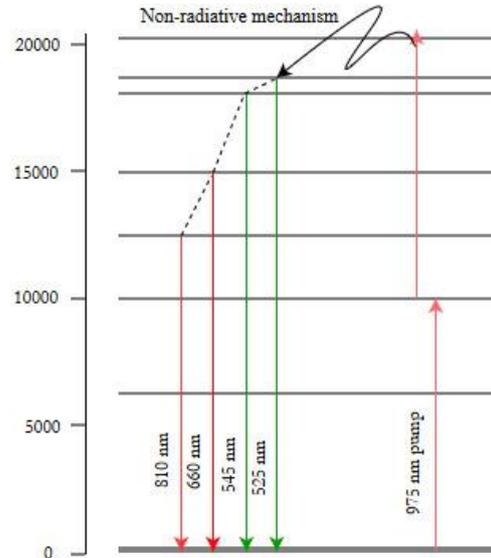


Figure 9: Erbium electronic transitions upon excitation at 975 nm. Adapted from [16].

from $^4I_{11/2} \rightarrow ^4I_{13/2}$ and ESA, $^4I_{13/2} \rightarrow ^4F_{9/2}$ [16]. Two emissions were detected, at 660 and 675nm, correspondent to the $^4F_{9/2} \rightarrow ^4I_{15/2}$ transition [17][14]. The sample $99\text{TiO}_2\text{-1ErO}_{1.5}\text{-HT1050}$ has four sharp emission peaks in the green region, at 524, 547, 555 and 566nm, while in the red region it is at 651, 656, 658, 661, 673 and 678 nm [19], with respect to the same transitions written before. These emissions are well known and studied in literature from recent years, especially for erbium doped samples [14] [15],

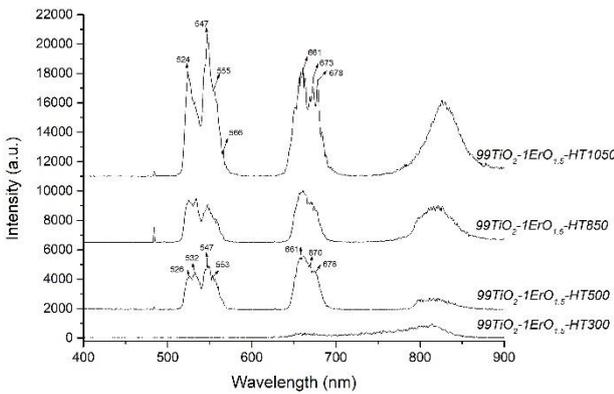


Figure 8: Up-conversion spectra of $99\text{TiO}_2\text{-1ErO}_{1.5}$, for different HT temperatures. Excitation at 975 nm.

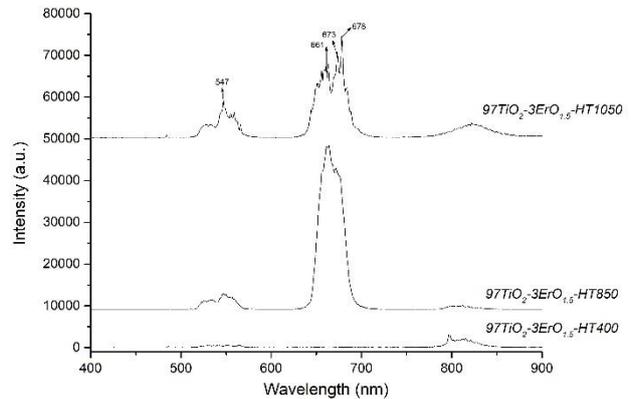


Figure 10: Up-conversion spectra of $97\text{TiO}_2\text{-3ErO}_{1.5}$, for different HT temperatures. Excitation at 975 nm.

transition. Through relaxation processes, there are non-radiative emissions and decay to lower levels such as the emission related to the peak at 525nm by $^2H_{11/2} \rightarrow ^4I_{15/2}$, at 540 and 565nm by the $^4H_{3/2} \rightarrow ^4I_{15/2}$ transition. The emissions in the red region are characterized by the further relaxation from higher energy levels or by a first relaxation

represented in figure 9. The stark splitting here is more evident than at any other HT temperature. For all $99\text{TiO}_2\text{-1ErO}_{1.5}$ bulk samples it is obtained a spectrum where red and green intensities, and respectively integrated areas, are quite similar, in samples where there is a rutile or anatase phase. For such doping concentration, it remains the

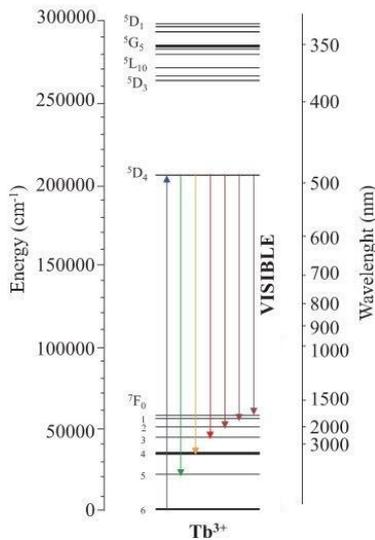


Figure 11: Terbium electronic transitions upon excitation at 484 nm.

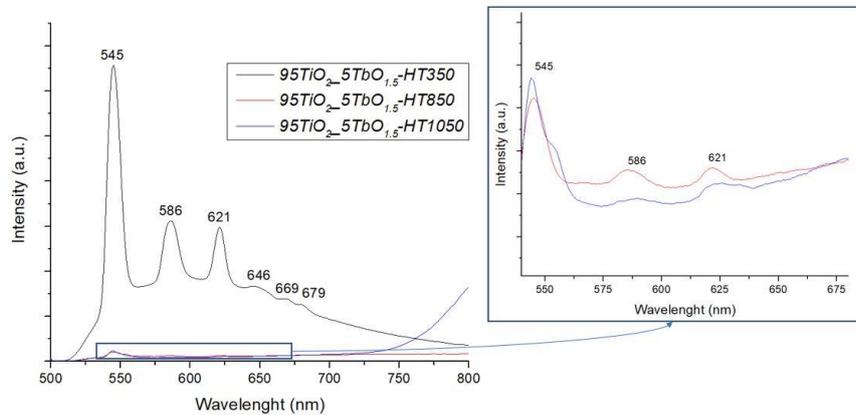


Figure 12: Emission spectra of 95TiO₂-5TbO_{1.5} sample, for different HT temperatures. Excitation at 484 nm.

result of low intensity emissions. Considering 97TiO₂-3ErO_{1.5}, in figure 10, there is one major difference when compared to 99TiO₂-1ErO_{1.5} emission spectra. The emission peaks associated with the transitions in the red region are much higher than for lower doping concentrations. All the emissions in the green region remain practically the same, in intensity and position, however the transitions at 661, 663 and 672nm are 11 times more intense with HT at 850 °C and 5 times higher at 1050 °C. This increase at red emission happens when a pyrochlore structure is formed. In 99TiO₂-1ErO_{1.5} samples, which do not present this structure, there is a homogeneous emission intensity, denoting a quenching effect by the presence of this pyrochlore structure in higher doping concentrations.

Terbium photoluminescence was also studied by means of down-shifting. Upon an excitation at 484nm it is expected several emissions such as the $^5D_4 \rightarrow ^4F_6$ transition at

487nm, $^5D_4 \rightarrow ^4F_5$ at 543nm, $^5D_4 \rightarrow ^4F_4$ at 583nm, $^5D_4 \rightarrow ^4F_3$ at 621nm, $^5D_4 \rightarrow ^4F_2$ at 646nm, $^5D_4 \rightarrow ^4F_1$ at 669nm and $^5D_4 \rightarrow ^4F_0$ at 679nm, present in figure 12. The sample 99TiO₂-1TbO_{1.5}-HT300 sample presents a broad band with a high intensity while both 99TiO₂-1TbO_{1.5}-HT850 and 99TiO₂-1TbO_{1.5}-HT1050 present three main peaks at 545, 586 and 622nm assigned to the $^5D_4 \rightarrow ^4F_5$, $^5D_4 \rightarrow ^4F_4$ and $^5D_4 \rightarrow ^4F_3$ transitions, respectively, however with low intensities. This broad band from the sample 99TiO₂-1TbO_{1.5}-HT300 is also expected since the excitation spectra presents a broad band with high intensities. Regarding 95TiO₂-5TbO_{1.5}, which has an identical spectrum of 97TiO₂-3TbO_{1.5}, it is possible to observe that, in presence of an amorphous phase, there are emissions at 646, 669 and 679 nm, as shown in figure 12. All these peaks are assigned to

$^5D_4 \rightarrow ^4F_j$ transitions, when $J_0 \rightarrow 5$, as represented in figure 11. The peaks are narrow, the transitions are well defined and intense and, when compared to higher HT temperatures such as HT850 and HT1050, the intensity of the 545 nm emission is almost 28 times higher in an amorphous structure than crystallized forming structures as rutile and anatase. These results are supported by other studies where it was obtained higher intensities when in an amorphous phase [20]. A possible explanation for such behavior is that when the lanthanides are embedded in an amorphous matrix, the short-range structure makes it possible to obtain an unperturbed electronic structure and presenting sharp and narrow peaks. [21]. Moreover, the absence of a pyrochlore structure at this calcination temperature results in an exclusion of a concentration quenching effect, contrarily to 95TiO₂-5TbO_{1.5}-HT850 and 95TiO₂-5TbO_{1.5}-HT1050 samples. [22]

4. Conclusions

Titania and rare earth doped titania bulks, with 1; 3 and 5 mol% of erbium or terbium oxide, have been prepared by the sol-gel method. Heat treatment of undoped titania, indicated the presence of anatase and/or rutile, while for the doped compositions a pyrochlore phase was also observed. From the diffractograms obtained, it is possible to conclude that with increasing doping concentration, the crystallization temperatures increased and the amount of the pyrochlore phase also increased. Also, the phase transformation, from anatase to rutile, is retarded: in undoped titania samples the phase transformation temperature was ~ 500 °C, while for erbium/terbium doped titania samples it was ~ 1050 °C.

A crystallite size of 20-50 nm, estimated by the Scherrer equation, revealed similar values to the observed grain size obtained in SEM, for doped and undoped titania, apart from the terbium doped rutile, heat treated at 1050 °C, where an increase in grain size to 50 - 600 nm was observed in SEM.

FTIR spectroscopy showed the presence of residual OH content, for samples where the presence of amorphous phase is observed, even after heat treatment. As a result, there was a strong quenching effect in the erbium-doped samples and they did not present photoluminescence properties.

The up-conversion results for erbium doped crystalline titania samples showed an intensification of the red emission, when compared to the green emission, for higher doping concentrations, especially in the anatase matrix. With increasing heat treatment temperature, Stark splittings are clearly observed, indicating a more strongly crystallized environment for erbium in the rutile phase, due to the formation of the pyrochlore phase.

On the other hand, the emission spectra of the terbium doped compositions yielded a much stronger intensity in the amorphous phase matrix, compared to the crystalline titania matrices.

5. References

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