Characterization and Design of PV solar cells that absorb Ultraviolet, Visible and Infrared Light

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The world is witnessing a tide of change in the photovoltaic industry like never before; we are far from the solar cells of ten years ago that only had 15-18% efficiency. More and more, multi-junction technologies seem to be the future for photovoltaics, with these technologies already hitting the mark of 30% under 1-sun. This work focuses especially on a state-of-the-art triple-junction solar cell, the GaInP/GaInAs/Ge lattice-matched, that is currently being used in most satellites and concentrator photovoltaic systems.

The three subcells are first analyzed individually and then the whole cell is put together and simulated. The typical figures-of-merit are extracted; all the I–V curves obtained are presented, along with the external quantum efficiencies. A study on how temperature affects the cell was done, given its relevance when talking about space applications. An overall optimization of the cell is also elaborated; the cell’s thickness and doping are changed so that maximum efficiency can be reached. For a better understanding of how varying both these properties affect efficiency, graphic 3D plots were computed based on the obtained results.

Index Terms—photovoltaics; solar cells; space; concentrator systems; GaInP/GaInAs/Ge; multi-junction; triple-junction

I. INTRODUCTION

The constant search for new energetic solutions to face the ever-demanding world’s energy consumption has been one of the main focus amongst researchers in the twenty-first century. At the time this article is being written, a good and affordable alternative seems to be found in the use of renewable energies. Even though the world is not yet prepared to switch completely to renewable sources, the installed capacity of these sources is increasing day by day, with the global renewable generation power already surpassing 2300 gigawatts. In 2018, 20% of this total generation capacity came from solar power, that continued to dominate in terms of new power installed, representing an increase of 24% [1].

This global solar expansion mainly derives from the capability of the photovoltaic industry to face the challenges that have been proposed until now. In the years to come, PV has the capacity of becoming one of the major energy sources in the world – as the price of fossil fuels continuously rises, the cost of solar PV has been substantially decreasing over the last two decades, with its LCOE\(^1\) being estimated to be within the range of 0.03 to 0.10$/kWh by 2020-2022 [2]. This prophetizes a solid future for the PV industry, especially if it is supported by the decrease in battery prices.

All of this motivates the industry to come up with new and improved solutions; one of those improvements in recent decades is the use of III-V multi-junction solar cells. These photovoltaic devices employ III-V semiconductors (made of elements in groups III and V of the Periodic Table), typically in a stacked distribution. These cells have been demonstrating solid results in terms of efficiency, since the first III-V GaInP/GaAs tandem cell was demonstrated by Olson and Kurtz at NREL in 1996, with a record efficiency of more than 30% [3]. Today, III-V cells already hit the mark of 45.7% in concentrator photovoltaics (NREL, 4-junction GaInP/GaAs/GaAs/GaAs, 234 suns) [4], demonstrating extraordinary advances in choosing optimal bandgap distributions.

This work will focus on a specific III-V cell, the GaInP/GaInAs/Ge lattice-matched cell, the state-of-the-art cell for both concentrator photovoltaics and space applications. The main objective is then to build a simulation model that allows for a characterization of the subcells that form the whole cell, extracting I – V curves and external quantum efficiencies, along with the most relevant figures-of-merit, such as fill-factors and efficiencies. A general optimization of the cell will also be attempted; this will be done by altering the thickness and doping of some layers.

The software chosen for developing the model was Silvaco\(^\text{©}\) ATLAS, for being capable of simulating a 2D and 3D solar devices by providing a large set of physical models (drift-diffusion, general optoelectronic interactions with ray tracing, Fermi-Dirac statistics, etc.) for semiconductor device simulation.

II. FIELDS OF APPLICATION OF III-V SOLAR CELLS

The III-V MJ\(^2\) solar cells are utilized in the most varied fields of application, the most important two being space applications (Figure 1a) and concentrator photovoltaic (CPV) systems (Figure 1b). These two fields represent very different operating conditions for solar cells, and thus different design approaches for each field must be considered. Record efficiencies of 35.8% (AM0 spectrum) [5] and 46% (AM1.5d spectrum, 508 suns) [4] were already demonstrated for space and CPV applications, respectively.

II.1 Space applications

Regarding space applications, III-V cells have become the go-to technology, not only because of their high-efficiency

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\(^1\)Levelized Cost Of Energy

\(^2\)multi-junction
results but also because of their high tolerance to radiation exposure. After being irradiated with high radiation doses, these cells showed an EOL\(^3\) efficiency that was higher than a BOL\(^4\) efficiency of a standard Si solar cell. Of course, this represented a major change for the spacecraft industry, since a good EOL efficiency is intrinsically connected to the weight and cost of the overall system, paramount factors when discussing the launch of a spacecraft, in which the cost is determined by €/kg, as opposed to €/W, in terrestrial applications.

Therefore, these cells, given their high EOL efficiencies, good radiation tolerance, and high power-to-mass ratios (W/kg), meet the requirements of the majority of the NASA OSS missions, that call for high specific power values, making them the state-of-the-art cells for the majority of satellites and space vehicles.

Another important aspect concerning missions in space is the temperature at which PV modules must operate in certain harsher environments. Space PV arrays must be prepared to endure both high and low temperatures, depending on the mission’s orbit. This leads to the necessity of studying the cell’s temperature coefficient (dη/dT) to have a measure on how the performance of the cell will vary with temperature. When under the AM0 spectrum, the normalized temperature coefficient of a Si solar cell is in the range of \(-3 \times 10^{-3} /°C\) to \(-5 \times 10^{-3} /°C\), while for tandem GaAs/Ge cells the temperature coefficient is approximately \(-2 \times 10^{-3} /°C\) [6].

This notorious difference in temperature coefficients is explained by the variance of bandgap in both cells; solar cells that have in their composition materials with higher bandgap values show lower efficiency losses with temperature [7]. This means that there will be an ideal bandgap for each operating temperature.

II.2 Terrestrial concentrator systems

On Earth, the task of implementing III-V plate modules would represent a heavy cost of production, with the cost of a typical III-V high-efficiency cell being around 10$/cm\(^2\) [8]. To counter this problem, solar PV companies developed concentrator photovoltaic systems (CPV), in which sunlight is concentrated with the use of mirror lenses. Usual concentration ratios for III-V cells may go from 500X to 2000X, the latter being commonly called high concentration PV (HCPV).

The increase in irradiance will directly affect the short-circuit current of the cell, increasing it. Resorting to equation (1), it is easy to see that incrementing \(I_{SC}\) affects the open-circuit voltage of the cell, which increases logarithmically by several \(KT/q\) factors. This boost in the \(V_{OC}\) will be more evident for a multi-junction cell, in which every subcell will contribute for the increase of \(V_{OC}\) with concentration, and thus rising the fill-factor of the overall cell.

\[
V_{OC} = nV_{T} \ln \left( \frac{I_{SC}}{I_{0}} \right) + 1
\]

For this reason, it would be fair to think the higher the concentration ratio, the higher the efficiency of the cell. Alas, in reality, no device is ideal, including solar cells; there are always losses that need to be considered, such as series and shunt resistances that must be taken into consideration. The concentration increase will have a dominant impact on the overall efficiency, diminishing the \(FF\), and changing the \(I-V\) characteristic. The greater the concentration ratio, the higher the impact will be on the cell; e.g., for the TJ\(^5\) GaInP/GaInAs/Ge, when incrementing the series resistance from \(R_s = 0\) to \(R_s = 0.1\Omega\), the \(FF\) is reduced from 90% (1 sun) to 87% at 83 suns, and to 71% at 500 suns [9].

Analyzing this data, it was then evident that some changes in series and shunt resistances had to be made in such a way that cells could operate under high concentration levels so that losses could be, to an extent, negligible. Every concentrator cell has a concentration limit for which the efficiency will start to drop, and several studies are being conducted in this matter. In the work of Steiner et al. [10], three tests were made using the single junction GaAs solar cell to prove the reduction in the \(FF\) and efficiency: three optimized grids for concentrations of \(C = 100\), \(C = 450\), and \(C = 1000\) were tested, and the cell showed a maximum efficiency of 29.09% for a concentration of 450 suns.

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\(^3\)end-of-life

\(^4\)beginning-of-life

\(^5\)triple-junction
III. III-V SOLAR CELL DESIGN

For a better understanding of the fundamentals behind a III-V solar cell it is necessary to perceive where they differ from the simple junction cell. It has already been stated that III-V multi-junction cells are top performers in their fields of application, when compared with their single-junction counterparts, given that the latter have their efficiency limited a priori.

### III.1 Bandgap versus Efficiency

In order to grasp why single-junction cells are limited efficiency-wise, one has to fathom how the bandgap is of paramount importance when discussing solar cells.

Taking into consideration a single-junction solar cell with bandgap $W_G$, only photons with their energy higher or equal to $W_G$ are absorbed. Photons for which the energy is higher than the bandgap $W_G$, there is a certain amount of energy that is in excess and will be lost, an phenomenon also known as thermalization losses. This means that the energy that will be effectively converted into electric current will be just a portion of the photon’s total energy. With this, it is evident that the device will only operate at maximum efficiency when the photon’s energy, $W_{ph}$, is equal to the bandgap $W_G$. Alas, when considering the wide spectrum of sunlight, absorbing just the photons of a specific wavelength imposes quite a limitation on the overall efficiency of the cell.

In trying to solve this problem, a few solutions were developed. One of them is broadly used today in the PV industry: the concept of multi-junction solar cells. Instead of trying to make the cell operate only at a specific wavelength, one could try to divide the light spectrum into several spectral sections and associate a subcell with an appropriate bandgap to each one of them. This way, every subcell would have the unique function of absorbing photons of a specific wavelength range.

Now, there are different approaches to solve the problem and split the sunlight’s spectrum. The first is a quite intuitive one, called the spatial distribution method (Figure 2a), and consists in using a prism to separate a beam of white light into several different wavelengths and spatially arranging subcells with different bandgap values accordingly.

Even though the spatial distribution is employed in some CPV systems, there are some difficulties associated when using this method. The approach that is broadly used nowadays when designing MJ solar cells is the stacked distribution (Figure 2b). This method consists in stacking the subcells on top of each other by order of bandgap, so the subcell with a lower bandgap is placed on the bottom of the cell and the one with a higher bandgap is placed on the top. This way, the high energy photons can be absorbed right on top of the cell by subcells with high bandgap values, forcing the low energy photons to penetrate further into the lower layers, where the low bandgap subcells are placed. As a result, the photons will be efficiently distributed and absorbed throughout the stack, increasing overall performance.

### III.2 Bandgap versus Lattice Constant

The choice of an appropriate bandgap does not take into account only the spectral regions, but also the choice of the lattice constant, since one depends on the other. This selection determines the structure of a MJ solar cell – if the materials all have, approximately, the same lattice constant, the cell is said to be lattice-matched; on the contrary, when the materials have different lattice constants, one says that the cell is lattice-mismatched or metamorphic (MM).

This distinction is significant when discussing solar cell design, given that stacked materials with different lattice constants may create dislocations, which can ruin the quality of the material and thus its performance. The production of metamorphic cells has to consider appropriate strategies, such
as step-graded buffers that make the transition between two materials with different lattice-constants less abrupt.

IV. THE GaInP/GaInAs/Ge SOLAR CELL

Regarding this work, it seems only relevant to discuss approaches in which the GaInP/GaInAs/Ge solar cells are utilized. The two most relevant examples are the lattice-matched triple-junction and the upright metamorphic structures.

IV.1 III-V Solar Cell Designs

At the time this article is being written, the lattice-matched triple-junction $\text{Ga}_{0.5}\text{In}_{0.5}\text{P}/\text{Ga}_{0.09}\text{In}_{0.01}\text{As}/\text{Ge}$ (Figure 3a) is the state-of-the-art cell for both space and terrestrial concentrator applications. The subcells are all lattice-matched to Ge, assuring that no dislocations are created. The cell itself consists of three main p–n junctions composed of GaInP, GaInAs, and Ge, stacked on top of each other, connected in series. The light falls on the GaInP subcell, which has the higher bandgap, as it was already explained previously. Each one of these subcells is connected through tunnel junctions with low resistance and high optical transmissivity coefficients. However, one of the main problems of this approach is that the spectrum splitting is not optimal, resulting in an excessive current in the bottom Ge cell.

One possible way to counter this problem is to increase the absorption of photons in the upper cells, resulting in less current discrepancy. This can be achieved by lowering the bandgaps of the top and middle subcells by increasing the In composition in both $\text{Ga}_{x}\text{In}_{1-x}\text{P}$ and $\text{Ga}_{y}\text{In}_{1-y}\text{As}$ materials. By doing this, the lattice constant also alters, and thus the materials no longer have the same lattice constant, making the cell lattice-mismatched or metamorphic (MM). This type of approach in monolithic structures may derive in dislocations that can harm material quality if no special measures are taken. In the case of the upright metamorphic TJ GaInP/GaInAs/Ge cell (Figure 3b), one of those measures is to implement a GaInAs graded buffer between the middle and bottom cells, so that the lattice constant increases gradually and not abruptly.

IV.2 Simulating the LM state-of-the-art cell

In order to simulate this cell, one has to take into account that several companies are currently researching various approaches to its development, the two most important being Fraunhofer ISE and Spectrolab, Inc.

In this work, the approach that was utilized is identical to the one used at Spectrolab, where this cell already demonstrated an efficiency of 32% under 1-sun (AM1.5G spectrum) [12]. While there is published research of this cell concerning some of its specific structural information, there are not many details available about doping and thickness values and the material compositions of each layer, given that all of these specifics are treated as proprietary information of Spectrolab.

Having as basis the detailed Ph.D. dissertation of Sharma [13], it was possible to put together an accurate model to simulate the cell. Some modifications were made to best adapt the cell to the one demonstrated by Spectrolab in the research paper of King et al. [12]. The simulated cell structure with all its layers is illustrated in Figure 4.

The simulation software that was chosen to build the III-V solar cell model was ATLAS from Silvaco® TCAD. The ATLAS application can run physically-based 2D and 3D simulations of semiconductor devices through a large set of physical models. The material properties utilized were taken from various sources such as Silvaco® own library, and from online databases such as Ioffe Institute (http://www.ioffe.ru/SVA/) and MatWeb (http://www.matweb.com/).
Firstly, to comprehend how the stacked cell works, it is necessary to perceive the role that each subcell plays in the monolithic cell by analyzing the materials that constitute each layer.

**IV.2.1 The GaInP top subcell**

Beginning from top to bottom, the first step was to simulate the GaInP top cell. This cell, as stated previously, has to absorb high energy photons, since it is on top of this cell that the light beams will fall onto. The Ga\textsubscript{x}In\textsubscript{1−x}P material is then chosen for its bandgap, which is \( W_G = 1.89 \text{ eV} \) for a composition of \( x = 0.5 \). This is a pretty high value that allows for the first high energy photons to be absorbed.

Besides the main p–n junction being composed of GaInP, the top subcell also contains two extra layers: the back-surface (BSF) and the window or front-surface (PSF) layers.

The window layer acts as an absorber layer, and thus it will have to have a high bandgap, small thickness, and a low series resistance. The material chosen can be the AlInP since it has a pretty high bandgap value and it is capable of being lattice-matched to the rest of the cell.

In contrast, the BSF layer exists to boost the short-circuit current of the cell, given that sharing the applied voltage across the n–p–p+ junctions minimizes the reflection of minority carriers and therefore leads to the decrease of the dark current. The material that is chosen for this is the quaternary AlGaInP.

**IV.2.2 The GaInAs middle subcell**

The second subcell to be simulated is the middle GaInAs cell, which is based in the more simple GaAs solar cell. It is lattice-matched to all the components that form the whole monolithic cell, with the main ternary compound, Ga\textsubscript{x}In\textsubscript{1−x}As, having the composition \( x = 0.99 \) since its lattice constant corresponds to an exact-match to Ge’s.

The subcell also has window and back-surface layers that are composed of highly-doped GaInP (composition of \( x = 0.5 \)) given the high optical output of this material.

**IV.2.3 The Ge bottom subcell**

Finally, the bottom subcell is made of a Ge substrate, instead of the typically used GaAs. This has two major advantages; firstly, Ge is cheaper than GaAs, and secondly, since Ge has a very low bandgap (\( W_G = 0.66 \text{ eV} \)) the thickness of the subcell can be reduced from around 300\( \mu \text{m} \) for the GaAs substrate to 170\( \mu \text{m} \) for the Ge substrate.

Apart from a GaInP window layer similar to the middle cell one, the subcell also has a buffer layer made of highly-doped n-GaInAs (composition of \( x = 0.99 \)) in order to reduce the ohmic contact between the bottom cell and the tunnel junction.

**IV.2.4 I–V characteristic of the stacked cell**

With the subcells already demonstrated, the next step was to try and assemble all of them in a monolithic cell.

Besides stacking the subcells on top of each other and separating them with appropriate tunnel junctions (AlGaAs–GaAs and AlGaAs–AlGaAs), it was also necessary to emulate the resistivity between subcell–tunnel diode and tunnel diode p–n junctions. This is made by establishing ohmic contacts with extremely high resistances that act as boundary conditions.

Two simulation models were tested: the first one, the cell was simulated in ATLAS without the metal grid (MG) on top, and the front contact had the same horizontal extension of the rest of the cell layers. This approach is a 1-D model since the structure only varies in one direction (vertical). The results were, then, artificially high since the contact effects were not being considered; the second method was employed so that the model would consider contact effects of the metal grid. Ergo, the cathode (top electrode) became smaller and a cap layer made of n+-GaAs was put below it with good ohmic contact formation in mind. Since there is this variation in the horizontal axis now, the model is a 2-D model.

Having as a reference the structure of the cell used at Spectrolab, the model developed in ATLAS was identical to the one depicted in Figure 4. In Figure 5, the obtained \( I – V \) characteristics are presented for both simulation models: 1-D model (without the MG) and 2-D model (with the MG); the experimental curve from Spectrolab [12] is also shown for comparison purposes. The most important figures of merit are shown in Table I.

![Fig. 5. I–V characteristics of the stacked cell: simulation results in blue and experimental results [12] in red. Figures of merit are presented in Table I.](image)

### Table I. Comparison of experimental and simulation values for the stacked cell.

<table>
<thead>
<tr>
<th></th>
<th>Experimental (Spectrolab)</th>
<th>Simulation without MG(^*)</th>
<th>Simulation with MG(^*)</th>
</tr>
</thead>
<tbody>
<tr>
<td>( I_{SC} ) [mA]</td>
<td>14.37</td>
<td>15.8771</td>
<td>14.4693</td>
</tr>
<tr>
<td>( V_{OC} ) [V]</td>
<td>2.622</td>
<td>2.6296</td>
<td>2.6248</td>
</tr>
<tr>
<td>( V_{MP} ) [V]</td>
<td>2.301</td>
<td>2.39</td>
<td>2.38</td>
</tr>
<tr>
<td>( FF )</td>
<td>0.85</td>
<td>0.89</td>
<td>0.88</td>
</tr>
<tr>
<td>( Eff ) [%]</td>
<td>32.0</td>
<td>36.9</td>
<td>33.65</td>
</tr>
</tbody>
</table>

\(^*\)metal grid

Analyzing the results, one can see that the best model to emulate the original cell’s behavior is the 2-D model, in which some of the device’s losses are being considered. The cell was emulated successfully to some extent: both the open-circuit voltage and short-circuit current were fairly replicated, which means that the overall structure (region materials, thickness, doping, etc.) was correctly modeled. Alas, both the fill-factor and efficiency were not consistent with the experimental results from Spectrolab. One explanation for this may be that...
losses were not properly accounted for in the final model, even taking the metal grid under consideration.

**IV.2.5 External Quantum Efficiencies**

The final test was to obtain the External Quantum Efficiency (EQE) from each subcell when stacked. This analysis provides a frequency response of the cell, which can be precious information to understand and further optimize solar cells.

Resorting to the optical bias method, it was possible to extract the individual EQEs of each subcell. This method consists of saturating all the subcells simultaneously, except the one under test, so that the saturated junctions will not limit the current, while that the cell that is not saturated (the one under study) will determine the current value, and thus its EQE can be computed.

When computing the EQE, it is necessary to have in mind that each cell will only absorb in a very specific wavelength range, that strongly depends on the bandgap of the other subcells. This dependence is due to the fact that the light spectrum is being split by the stacked distribution. In the lattice-matched approach, the GaInP top cell absorbs photons with energy \( W_{ph} > 1.89 \text{ eV} \), the GaInAs middle cell will absorb between the range of \( 1.89 > W_{ph} > 1.41 \text{ eV} \) and, finally, the Ge bottom cell will absorb photons with energy \( 1.41 > W_{ph} > 0.661 \text{ eV} \). All of this is well illustrated in Figure 6, which contains the experimental results by Spectrolab [14], along with the simulated results in ATLAS.

However, the photovoltaic cells under study have to be designed to withstand the extreme temperatures that only space can bestow. These temperatures can go from very high temperatures (HIHT\(^7\) missions) and deep-space temperatures like \(-170^\circ\text{C}\), which is the cell temperature for Saturn-orbit missions. Therefore, it makes sense to try and emulate the cell under these conditions.

**IV.3 Temperature Effects**

Temperature, naturally, is one of the most important factors when studying the behavior of semiconductors. This way, solar cells are usually tested for a nominal operating cell temperature (NOCT) of \( 25^\circ\text{C} \), which is generally approximated to \( T = 300\) K in absolute temperature values.

Resorting to the optical bias method, it was possible to extract the individual EQEs of each subcell. This method consists of saturating all the subcells simultaneously, except the one under test, so that the saturated junctions will not limit the current, while that the cell that is not saturated (the one under study) will determine the current value, and thus its EQE can be computed.

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**IV.3.1 High and Low Temperatures**

To try and perceive how high temperatures affect solar cell performance, a simulation was run first for \( T = 300\) K and then for higher temperatures, in intervals of \( 50\) K, to the final temperature of \( T = 500\) K. Besides studying the cell’s behavior at high temperatures, it is also important to understand how they perform at temperatures below \( 0^\circ\text{C} \). Even if some parameters variances can be expected, namely the increase in the open-circuit voltage and overall efficiency, there is some interest in how they vary for low temperatures.

The extracted \( I-V \) curves for both ranges of temperature are illustrated in Figure 7, with the most relevant figures-of-merit from both plots (Figure 7a and 7b) being registered in Table II.

![Fig. 6. External Quantum Efficiencies of each subcells: simulation and experimental results [14].](image)

**Fig. 6.** External Quantum Efficiencies of each subcells: simulation and experimental results [14].

![Fig. 7. Tandem cell’s I-V characteristics obtained for two different intervals of temperature: (a) high temperature range, from \( T = 300\) K to \( T = 500\) K, and (b) low temperature range, from \( T = 250\) K to \( T = 300\) K. All curves were obtained using the AM0 spectrum.](image)

**Fig. 7.** Tandem cell’s I-V characteristics obtained for two different intervals of temperature: (a) high temperature range, from \( T = 300\) K to \( T = 500\) K, and (b) low temperature range, from \( T = 250\) K to \( T = 300\) K. All curves were obtained using the AM0 spectrum.

\(^7\) high intensity high temperature
Table II. Measured values for both temperature ranges: high and low temperatures, from the respective plots (a) and (b), displayed in Figure 7.

<table>
<thead>
<tr>
<th>Figure 7b</th>
<th>Figure 7a</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>230K</td>
</tr>
<tr>
<td>$V_{OC}$ [V]</td>
<td>3.1344</td>
</tr>
<tr>
<td>$FF$</td>
<td>0.64</td>
</tr>
<tr>
<td>Eff. [%]</td>
<td>27.77</td>
</tr>
</tbody>
</table>

Both the open-circuit voltage and short-circuit current behave as expected: $I_{SC}$ has an insignificant variance whereas the $V_{OC}$ decreases substantially as temperature increases.

As for the efficiency and fill-factor, they both decrease as temperature rises, however, this is only valid to a certain point. As the array temperature gets colder, the variance in certain parameters begins to be non-linear. This is because, as temperature decreases, carriers start to enter the state of “freeze-out”, in which there is not enough thermal energy for the dopants to be fully ionized, and thus there will be a shortage of charge carriers. Another issue is the phenomenon called “broken-knee” or “double-slope”, in which the I – V characteristic becomes degraded, generating a great reduction in the fill-factor and efficiency – this can be seen in the obtained curve for $T = 230$K.

Notwithstanding, colder environments, to a certain extent, are good for solar cells since there is a boost in the overall performance; the obtained results confirm the need for some PV panels to have cooling systems installed so that the power conversion efficiency is maximized.

V. CELL OPTIMIZATION

With the lattice-matched GaInP/GaInAs/Ge solar cell properly reproduced and simulated, an overall optimization of the cell is attempted. In order to do this, two studies on how thickness and doping affect the overall performance of the cell were made. The first study takes into account the top and middle subcells and their respective thicknesses. The second study will take into account the doping of the GaInP top subcell. The properties of the whole cell were maintained constant with the default, previously simulated parameter values.

Considering that the cell that was being simulated up to this point was optimized for CPV\(^8\) applications, this work will attempt to perform an optimization for space applications in LEO\(^9\) missions. The spectrum utilized was the AM0 and the cell temperature was $T = 300$K.

V.1 Thickness variation

When varying the cell thickness, it is necessary to select which layers are going to be altered. Since the photocurrent of the entire cell is determined by the top cell, the first layers to be chosen were the GaInP+ base and emitter layers. The BSF and FSF layers were not altered, since their values were already at the minimum possible. The main goal of this study is to choose thickness values that establish a compromise between efficiency and size of the cell, given that the less cell bulkiness the better.

The first test consisted in varying both base and emitter thicknesses of the top cell and evaluate the efficiency, $\eta$, improvement. Other parameters like short-circuit current, $I_{SC}$, open-circuit voltage, $V_{OC}$, fill-factor, $FF$, and the variation in efficiency, $\Delta\eta$, were also registered. Both default (gray) and best (green) obtained results for the first test are displayed in Table III. The best efficiency achieved was $31.80\%$ which in comparison to the initial value of $31.76\%$ corresponds to an improvement of $+0.1107\%$.

Table III. First study, first test: Top GaInP subcell thickness variation of the p-base and n-emitter layers.

<table>
<thead>
<tr>
<th>Base</th>
<th>Emit.</th>
<th>$I_{SC}$ [mA/cm(^2)]</th>
<th>$V_{OC}$ [V]</th>
<th>$FF$ [%]</th>
<th>$\eta$ [%]</th>
<th>$\Delta\eta$ [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.75</td>
<td>0.10</td>
<td>18.6750</td>
<td>2.6251</td>
<td>0.8847</td>
<td>31.7687</td>
<td>0.0000</td>
</tr>
<tr>
<td>0.70</td>
<td>0.11</td>
<td>18.5956</td>
<td>2.6254</td>
<td>0.8893</td>
<td>31.8039</td>
<td>+0.1107</td>
</tr>
</tbody>
</table>

Default values
Best obtained values

The second test was analogous to the first, except it was made considering only the middle subcell thickness. Once again, the BSF and FSF layers were not altered, varying only the thickness of both GaInAs- base and emitter layers. The top GaInP layers’ thicknesses were the initial ones, without employing the optimization of the first test. The default values along with the best-obtained results are presented in Table IV. Alas, in this case, the best-obtained results (in green) correspond to a thickness increase of $0.5\mu$m in the base thickness. Since a bulkier cell is not the desired outcome, the second-best results (red) that achieved an efficiency of $31.8036\%$ were chosen. This efficiency value corresponds to an improvement of $+0.1098\%$.

Table IV. First study, second test: Middle GaInAs subcell thickness variation of the p-base and n-emitter layers.

<table>
<thead>
<tr>
<th>Base</th>
<th>Emit.</th>
<th>$I_{SC}$ [mA/cm(^2)]</th>
<th>$V_{OC}$ [V]</th>
<th>$FF$ [%]</th>
<th>$\eta$ [%]</th>
<th>$\Delta\eta$ [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.50</td>
<td>0.08</td>
<td>18.6750</td>
<td>2.6251</td>
<td>0.8847</td>
<td>31.7687</td>
<td>0.0000</td>
</tr>
<tr>
<td>4.00</td>
<td>0.08</td>
<td>18.6744</td>
<td>2.6272</td>
<td>0.8851</td>
<td>31.8074</td>
<td>+0.1220</td>
</tr>
<tr>
<td>3.75</td>
<td>0.09</td>
<td>18.6861</td>
<td>2.6262</td>
<td>0.8847</td>
<td>31.8036</td>
<td>+0.1098</td>
</tr>
</tbody>
</table>

Default values
Best obtained values Second best values

\(^8\)Concentrator photovoltaic
\(^9\)Low-Earth orbit, < 1000 km
All of the obtained results for both tests are illustrated in two 3D plots, in which one can observe how cell the layers' thicknesses affect the overall performance of the cell. The 3D surface plots are presented in Figure 8 and were made resorting to the Curve Fitting Tool of MATLAB®.

With this visual aid, it fairly clear that for the first test (Figure 8a), the efficiency depends on both GaInP- base and emitter thicknesses, being apparent that higher efficiencies concentrate in a range of values that are roughly in the center of the plot.

Similarly, analyzing the 3D plot for the second test (Figure 8b) it is evident that the higher the middle subcell’s base thickness, the higher the efficiency. Unlike the first test, the GaInAs-base thickness is predominant in how the efficiency varies.

Finally, the best results from both tests were simulated, so that both subcell optimizations could be taken into account. The obtained parameters were: $J_{SC} = 18.5943 \text{ mA/cm}^2$, $V_{OC} = 2.6276 \text{ V}$, $FF = 88.98\%$ and an efficiency of $\eta = 31.8431\%$, which translates in an improvement of 0.2343%, in comparison with the initial value.

V.2 Doping variation

The second and final study was designed to evaluate how doping alters the performance of the cell. This last simulation is run with the best thickness values obtained in the first study.

Only the top cell’s base and emitter layers are going to be contemplated in this study. Once more, Table V shows the best-obtained results of doping variation for the GaInP- base and emitter layers. The best obtained efficiency was 33.0194%, which corresponds to a total improvement of +3.9368% of the very first efficiency value that was $\eta = 31.7687\%$ (refer to Tables III and IV).

Table V. Second study: doping variation of the p-base and n-emitter layers in the top subcell.

<table>
<thead>
<tr>
<th>Base [cm$^{-3}$]</th>
<th>Emitter [cm$^{-3}$]</th>
<th>$I_{SC}$ [mA/cm$^2$]</th>
<th>$V_{OC}$ [V]</th>
<th>$FF$ [%]</th>
<th>$\eta$ [%]</th>
<th>$\Delta\eta$ [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1 \times 10^{18}$</td>
<td>$1 \times 10^{18}$</td>
<td>18.6371</td>
<td>2.6805</td>
<td>0.90</td>
<td>33.02</td>
<td>+3.9368</td>
</tr>
<tr>
<td>$1 \times 10^{17}$</td>
<td>$5 \times 10^{18}$</td>
<td>18.5943</td>
<td>2.6276</td>
<td>0.89</td>
<td>31.84</td>
<td>+0.2343</td>
</tr>
</tbody>
</table>

The doping values that were simulated were carefully chosen, given that the higher the doping, the lower the potential barrier to be overcome, making higher efficiencies possible to achieve. However, this efficiency increase can not be indefinite, since the minority carrier lifetime and diffusion length decrease with doping increase [15]. Hence, searching for the optimal doping value that increases efficiency without degrading the electronic properties of the semiconductor is of paramount importance. Values past $2.00 \times 10^{18}$ cm$^{-3}$ for the base and $1 \times 10^{19}$ cm$^{-3}$ were not chosen, given that simulations run with doping values higher than these resulted in deterioration of the $I-V$ curve.

Analogously to the first study, a 3D fitted cubic surface of the results was plotted and it is illustrated in Figure 9. It is clear that the base doping is predominant in efficiency variation; as it increases, efficiency values increase, reaching a peak region in which the efficiency is the highest possible. Beyond those values, there is an abrupt drop in the short-circuit current and open-circuit voltage, resulting in an efficiency reduction.

This concludes the optimization of the cell for operation under the AM0 spectrum, at the nominal temperature of $T = 300$K. As it has already been mentioned, temperatures in space can oscillate from extremely low to very high temperatures (sometimes in the same mission), and so each PV array must be optimized in accordance with the conditions it is planned to operate at.
VI. C Onclusion

The main aim of this work was to create a model so that a triple-junction state-of-the-art solar cell could be emulated and then analyzed with accuracy, without the need to resort to more advanced, and expensive, simulation technologies.

VI.1 Discussion of Results

Comparing the simulated results with the actual experimental results by Spectrolab, Inc. one could say that the main goal was achieved, and the cell was emulated successfully. Both the open-circuit voltage and short-circuit current were fairly replicated, which means that the region materials, thickness, and doping were correctly modeled.

However, both the fill-factor and efficiency were not consistent with their experimental counterparts; this may have to do with the fact that losses were not properly accounted in the modeled cell since the only loss mechanisms present were the metal grid and the back/front contacts, and the fact that complex refractive indices were used in simulation (the imaginary part accounts for losses). Experimental values are calculated by appropriate measuring devices, such as multimeters, connecting them in series/parallel to a resistor, which in turn is connected to both terminals of the cell. This results in part of the losses not being accounted for in the simulation.

Other relevant differences are the external quantum efficiencies that were obtained for each subcell, in contrast with the experimental curves. This is due mainly to the use of refractive indexes that do not correspond to the exact composition of a certain material. For instance, the most obvious difference is between the simulated and experimental frequency responses in the middle cell; this discrepancy may reside in the fact that the only refractive index available (from the databases) is not a rigorous match for the composition of $x = 0.99$ in Ga$_{0.99}$In$_{0.01}$As. This explanation is valid for other ternaries used as well.

Furthermore, bearing in mind that temperature plays a significant part in semiconductor performance, a test to evaluate how temperature influences the cell was also conducted. Most of the published research on how the GaInP/GaInAs/Ge solar cell behaves under different temperatures only has into consideration the higher range of temperature, given its paramount use in concentrator photovoltaic systems. With spacecraft implementation in sight, it was thought to be relevant to verify how the cell behaves at low temperatures. Even though some plausible results were obtained, simulations in temperatures below 230K did not obtain convergence, considering that the cell’s design was not prepared for such low-temperature environments.

Finally, an optimization of the GaInP/GaInAs/Ge LM cell was also conducted. In this optimization, certain cell parameters were tweaked so it could reach its maximum potential for a 1-AM0 incidence. This could prove of some value for the photovoltaic industry that is dedicated to the manufacturing of solar cells for space applications, given that the doping can significantly boost the cell’s efficiency.

Regarding the simulation times, depending on the mesh fineness and the voltage step that are being employed, the whole model takes roughly six minutes to simulate with Newton’s method. This may be an advantage over more complex and detailed ways of simulation that are more time-consuming if the main objective is simply to obtain the major figures-of-merit of the cell.

VI.2 Future Work

Given the lack of time, some tests and simulations that were considered interesting to attempt were not executed. Since the main focus of this work has been the simulation of the triple-junction cell, advanced techniques used for optimizing the cell have been left aside. These simulations would require further investigation on the material properties, different spectrum intensities, and sophisticated methods of solar cell design. The proposed ideas for future work are, then, the following:

1) It would be relevant to simulate the already mentioned metamorphic GaInP/GaInAs/Ge cell and see what major differences are observed when comparing it to its lattice-matched counterpart. While the LM cells are still the state-of-the-art for space missions, the metamorphic one has been achieving noteworthy efficiencies in the photovoltaic community, simply because new bandgap values for the top and middle subcells are being tested. This would then translate into a test to examine how cell performance is affected by the top and middle subcell bandgap.

2) From a design point-of-view, an attractive way of further optimizing the cell is to use an algorithm that takes into account all the layers of the cell. One example is the genetic algorithm for layer thicknesses, that unlike the method that was used for optimization in this work,
takes into account all the layers by performing a meta-
heuristic parameter sweep [16], capable of achieving
faster and better results when compared to “hard-coded”
methods.
3) The third idea would be to explore the difference in
spectrum intensity LILT\textsuperscript{10} space missions. While there
were several attempts to simulate the LM cell in an
environment with 0.03 – AM0 solar intensity and at
a temperature below 100K, the simulations did not
converge and no results could be obtained. One of the
main reasons of why this happened may be because
the design of the cell was not adapted to such extreme
conditions and certain features, such as an ARC\textsuperscript{11} or
low-doping levels of the base layers [17] were not
applied. Tweaking the cell would then be necessary for
LILT missions simulation.

While the third idea would be possible to implement in
Silvaco ATLAS, the other two might need different, more
advanced, software. The first idea has to have the same
materials with different compositions present at the same
time in the cell structure. Silvaco ATLAS may not be the best
software for doing this, since multiple refractive indexes would
be defined for the same material. A suggestion would be
Thesaurus TCAD by Synopsys since it provides more options
to the user and has an integrated library of materials that is
well updated with the latest research while providing more
complex tools for solar cell analysis.

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always having my back and helping me with the wording.

REFERENCES

\textsuperscript{10} low intensity low temperature
\textsuperscript{11} anti-reflective coat